



# What was the ancestral function of decidual stromal cells? A model for the evolution of eutherian pregnancy



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## ABSTRACT

In human and mouse, decidual stromal cells (DSC) are necessary for the establishment (implantation) and the maintenance of pregnancy by preventing inflammation and the immune rejection of the semi-allograft conceptus. DSC originated along the stem lineage of eutherian mammals, coincidental with the origin of invasive placentation. Surprisingly, in many eutherian lineages decidual cells are lost after the implantation phase of pregnancy, making it unlikely that DSC are necessary for the maintenance of pregnancy in these animals. In order to understand this variation, we review the literature on the fetal-maternal interface in all major eutherian clades Euarchontoglires, Laurasiatheria, Xenarthra and Afrotheria, as well as the literature about the ancestral eutherian species. We conclude that maintaining pregnancy may not be a shared derived function of DSC among all eutherian mammals. Rather, we propose that DSC originated to manage the inflammatory reaction associated with invasive implantation. We envision that this happened in a stem eutherian that had invasive placenta but still a short gestation. We further propose that extended gestation evolved independently in the major eutherian clades explaining why the major lineages of eutherian mammals differ with respect to the mechanisms maintaining pregnancy.

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## 1. Introduction

Evolution of pregnancy is one of the salient attributes of eutherian mammals. Distinctive features of eutherian pregnancy include invasive placentation (at least ancestrally), extended gestation, maternal recognition of pregnancy, and the origin of decidual stromal cells (DSC).

DSCs are a novel cell-type that originated along the stem lineage of eutherian mammals [39,50]. In many eutherian mammals, DSC differentiate from a population of fibroblast-like cells in the uterus called Endometrial Stromal Fibroblasts (ESF) in a process called decidualization. In many eutherian mammals ESF decidualize during pregnancy, and in some eutherians, including humans, also during the secretory phase of a sterile sexual cycle [29]. Human ESF decidualize in response to progesterone and cyclic-AMP (cAMP).

Differentiation of DSC from ESF involves extensive

reprogramming of gene regulatory status [8] and changes to the genome-wide patterns of histone-modification [71]. They also acquire a distinct cellular morphology—increased size, globular or polygonal appearance compared to the spindle-like appearance of their precursor fibroblasts, and increased accumulation of fat and glycogen granules as well as secretion of extracellular matrix [52].

### 1.1. Functions of decidual stromal cells

The functions of DSC have been studied extensively in model systems such as rodents and *in-vitro* grown human endometrial stromal cells. In human and mouse DSC form a physical barrier between the invading syncytio-trophoblast and the maternal tissue. Failure to form this barrier in human leads to a pathological invasion of myometrium by the trophoblast, a condition called *placenta accreta* that can be fatal to the mother [30]. The presence of glycogen and lipid granules suggests a nutritive function toward the fetus [52]. In addition DSC produce a variety of signaling molecules including prolactin, prostaglandins, relaxin, IGFBP1 (Insulin-Like Growth Factor Binding Protein 1) and many more. These hormones and

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paracrine factors are important for maintaining maternal physiology in a state conducive to pregnancy [81]. In humans there is also evidence that the decidua plays a role in embryo selection, ensuring that only viable blastocysts can implant successfully [45].

Eutherian blastocyst implantation is an inflammatory process [19,77] and inflammation is necessary for successful implantation. Never the less soon after implantation the local endometrial environment becomes anti-inflammatory, a step necessary for the maintenance of pregnancy, mediated, in part, by a switch of the decidual cell cytokine profile [65].

The fetus expresses paternal antigens that can be identified by maternal immune system as 'non-self'. Yet, the semi-allograft fetus is not rejected by the maternal immune system. How this fetal-maternal immune tolerance is brought about is a long-standing puzzle in reproductive biology. In the last few years, DSC have been recognized as critical mediators of immunological tolerance at the fetal-maternal interface in human and mouse [26].

In the mouse, through epigenetic silencing of the chemokines, *Cxcl9* (C-X-C Motif Chemokine 9) and *Cxcl10* (C-X-C Motif Chemokine 10), DSC limit the influx of cytotoxic T-cells into the endometrium, thus minimizing the interactions between the trophoblast and effectors of the immune system [55]. Uterine variants of natural killer cells (uterine Natural Killer cells or uNK cells) and macrophages are distinct from their circulating counterparts in being less cytotoxic and active players in remodeling of uterine vasculature to accommodate invasive placentation. Acquisition of their distinct status in the endometrium is mediated by Interleukin-15 (IL15) secreted by decidual cells [26,40]. Conditioned medium from DSC, supplemented with IL15, converts peripheral natural killer cells to their uterine phenotype [36], and co-culture of CD34-positive hematopoietic precursor cells with DSC converts the precursor cells into uNK cells [74]. Decidualization in mouse creates an environment that prevents the growth of lymphatic vasculature in the endometrium, trapping dendritic cells in the endometrium [15]. Dendritic cells are antigen-presenting cells that must traverse to a lymph node through lymphatic vasculature to present antigens to lymphocytes. This important event in the activation of the adaptive immune system is thus interrupted by decidualization, at least in mouse.

Evidently, in human and mouse, DSC play a critical role in modulation of the uterine environment to facilitate and maintain the extended gestation in the face of immunological and physiological challenges of an invasive placenta.

## 1.2. Evolutionary origin of decidual stromal cells

DSC originated along the eutherian stem lineage as inferred by phylogenetic ancestral state reconstruction [50]. The evolution of functional interactions between certain transcription factors necessary for DSC differentiation also occurred at the same time in phylogeny, supporting this inference. DSC differentiation is dependent on functional cooperative interactions between HOXA11 (Homeobox A11) and FOXO1 (Forkhead Box O1) [43], and between FOXO1 and CEBPB (CCAAT/Enhancer Binding Protein, Beta) [13]. These cooperative interactions evolved along the stem lineage of eutherian mammals: reconstructed ancestral eutherian versions of these transcription factors have the ability to up-regulate the expression of DSC markers, while the reconstructed ancestral therian versions lack this ability as do the proteins of outgroup species, opossum, platypus and chicken (HOXA11: [9]; CEBPB: [44]).

While mammalian viviparity and direct fetal-maternal contact and interaction likely evolved in the stem lineage of therian mammals (i.e. prior to the ancestor of both marsupial and eutherian mammals), the fetal-maternal interaction is qualitatively different between metatherian (marsupial) and eutherian mammals. Highly

invasive forms of placentation that lead to a sustainable accommodation of the fetal allograft are unique to eutherian mammals [53].

Placentation has been categorized into three major types based on the maternal tissue coming in direct contact with the trophoblast: epitheliochorial, endotheliochorial and haemochorial. Epitheliochorial (trophoblast is in contact with the luminal epithelium of endometrium) placentation is non-invasive because the fetal tissue does not breach the uterine luminal epithelium [32]. It is found in cattle, sheep, pig and horse and their relatives like dolphins and whales. Endotheliochorial (trophoblast is in contact with maternal endothelium) and haemochorial (trophoblast is in contact with maternal blood) are invasive forms because fetal tissue breaches the luminal epithelium and establishes a direct contact with endometrial stroma. Endotheliochorial placentation is found in carnivores, elephant etc. and haemochorial placentation is found in primates, rodents, armadillo etc. [53]. Phylogenetic ancestral state reconstructions have inferred that the eutherian ancestor possessed an invasive form of placentation. Disagreement remains whether it was endotheliochorial [50] or haemochorial [22,79]; what is clear, however, is that placentation was invasive in the eutherian ancestor [47]. In either case, the ancestor of eutherians had a placenta where the trophoblast of the conceptus was in direct contact with the endometrial stroma. See Fig. 1 for a phylogeny of mammals and Fig. 2 for the evolution of mode of placentation in Eutheria.

DSC are typically found in species that exhibit invasive placentation, with the possible exception of armadillo and other xenarthrans, discussed below. After their origin in the eutherian stem lineage, DSC are reconstructed to have been lost in the lineage leading from the Laurasiatherian ancestor, the same lineage in which invasive mode of placentation was lost [50].

When DSC originated is relatively clear, based on multiple lines of evidence mentioned above. However, which evolutionary forces drove their origin, what their ancestral function was, and which ontogenetic and gene regulatory changes made their origin possible are open questions. It is important to address these questions for at least two reasons. First, how and why evolutionary novelties such as novel cell-types originate is a fundamental question in evolutionary biology [4,76]. Secondly, understanding how and why DSC originated can inform efforts to dissect the mechanistic basis of reproductive pathologies.

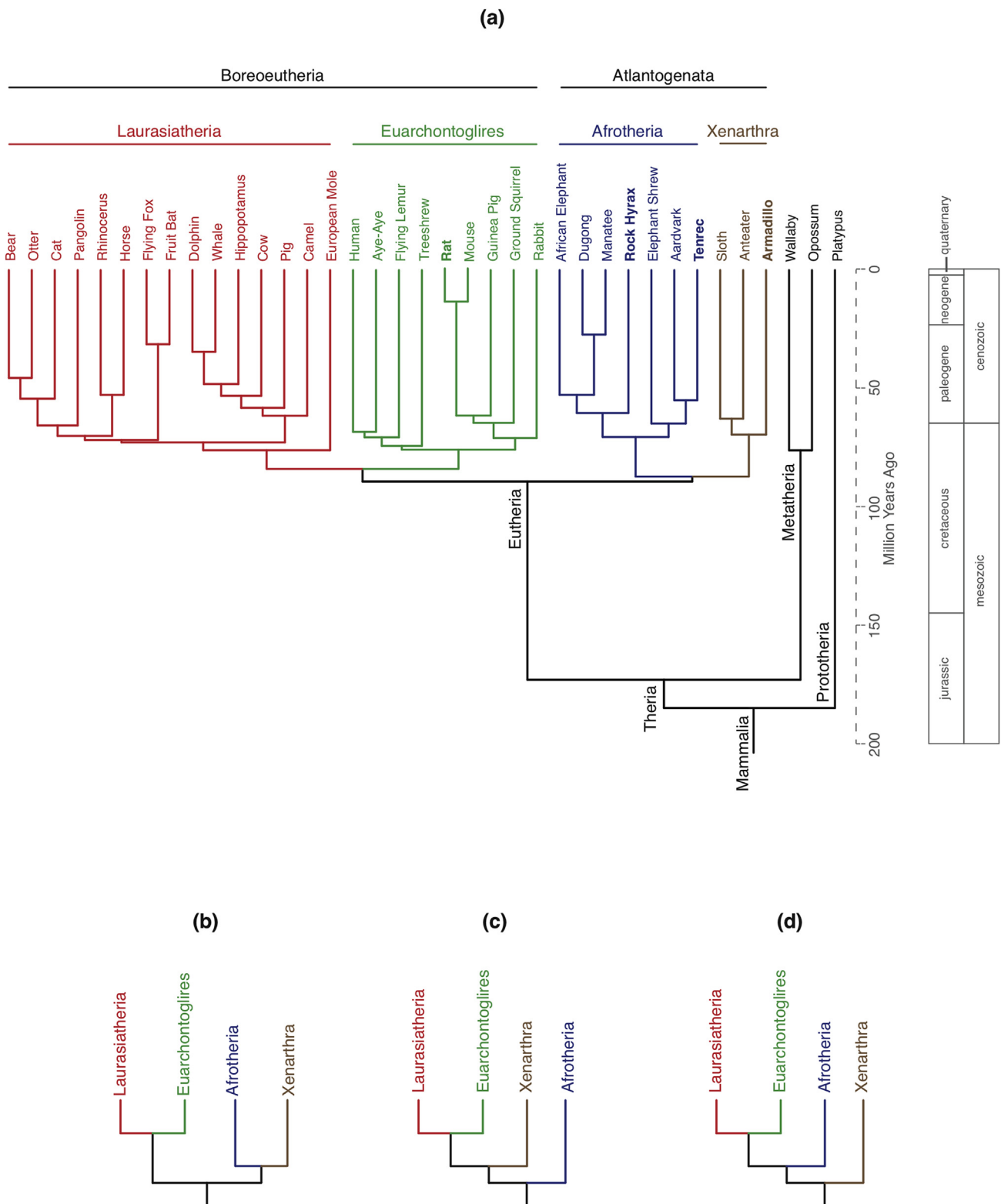
In order to understand the origin and ancestral function of DSC, research on rodent and primate model systems needs to be supplemented with data on the other major lineages of eutherians, in particular Afrotheria (tenrec, hyrax, elephant, manatee etc.) and Xenarthra (armadillo, anteater, sloth etc.). Primates and rodents are members of one of the four major eutherian clades, Euarchontoglires. Given that DSC originated in the eutherian stem lineage, it is imperative that any inferences concerning their origin be drawn from studies on taxa that bracket the entire diversity of eutherian descent: Xenarthra, Afrotheria and Laurasiatheria, in addition to Euarchontoglires.

To this end, we reviewed the literature on DSC in Eutheria, with specific attention to Xenarthra (armadillo) and Afrotheria (tenrec and hyrax). A surprising observation about DSC is that they are generally present in the peri-implantation phase, but tend to disappear in later stages of pregnancy. The latter observation is incompatible with the hypothesis that maintenance of extended pregnancy is a shared derived eutherian function of DSC.

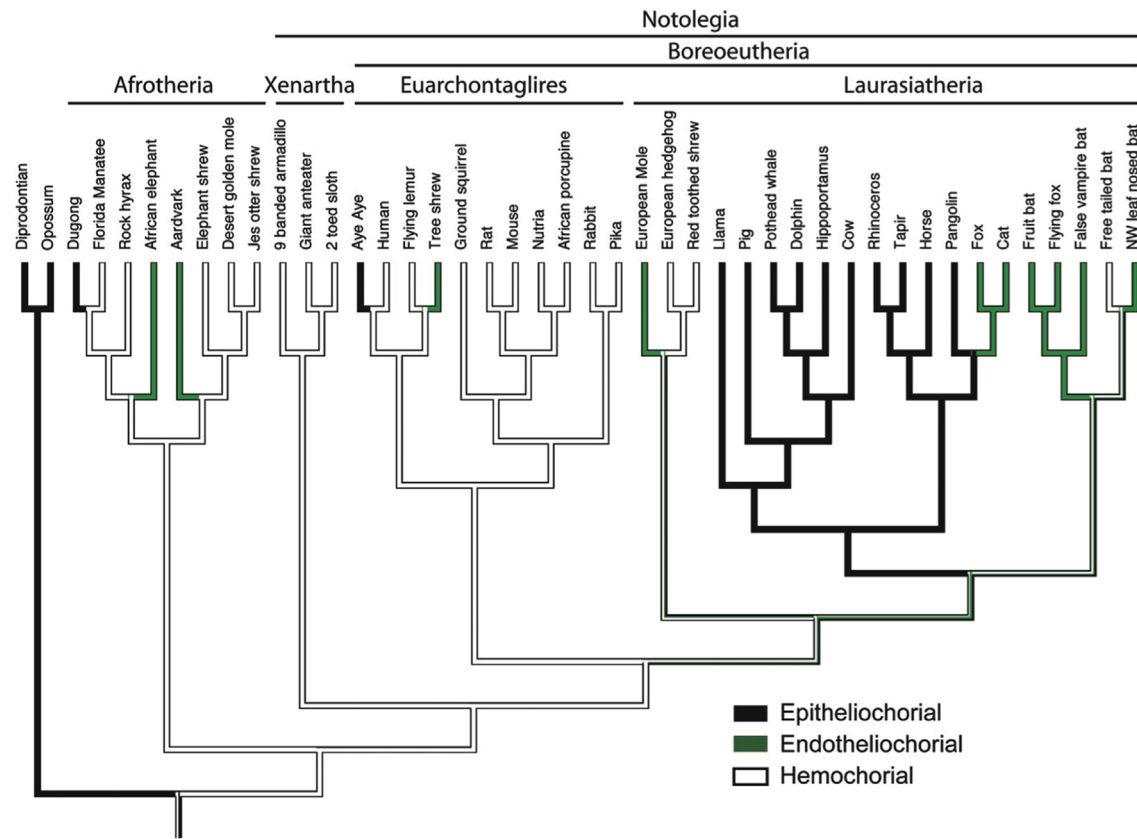
## 2. The life cycle of DSC and its implications for their ancestral function

### 2.1. DSC do not generally persist until the end of gestation

In species that have decidual cells, their numbers dwindle as



**Fig. 1.** Phylogeny of mammals. (a) Phylogenetic relationship between extant mammal species. Four major clades of Eutheria are coloured differently. Geological time scale is presented on the right hand side. Names of the species discussed in detail in this article are in bold typeface. The tree was drawn based on branch-lengths from Ref. [21]. The relationship between Xenarthra, Afrotherina and Boreoeutheria is not clearly resolved so far. Three plausible relationships are shown in (b) (c) and (d).



**Fig. 2.** Evolution of mode of placentation according to Wildman and colleagues [79]. The tree presents the results of phylogenetic ancestral state reconstruction of mode of placentation. The tree topology used for this analysis by the authors is that in Fig. 1(c). Note that the reconstructed mode for the eutherian ancestral node is hemochorial.

pregnancy progresses. Mossman has pointed this out earlier in case of human and rodents [52]. Here we call attention to this phenomenon in a select set of eutherian species representing Euarchontoglires, Xenarthra, Afrotheria and Laurasiatheria.

### 2.1.1. Euarchontoglires (*Rat*, *Rattus norvegicus*)

In rat, similar to the situation in mouse, there are two sites of decidualization in the uterus. Primary decidualization takes place on the antimesometrial side in response to the implantation of the blastocyst. It is followed by secondary decidualization on the mesometrial side [1]. Mesometrial decidua forms the *decidua basalis*. Antimesometrial decidua encapsulates the conceptus, thus forming the *decidua capsularis*. The thickness of antimesometrial decidua consistently decreases from day 8 of gestation before it completely disappears by day 18, 2–3 days prior to parturition [20]. Similarly mesometrial decidua begins regression on day 14 and continues to regress until the end of gestation [18,28]. Several studies have shown that this regression is mediated by apoptotic cell death [18,33,66] (see Fig. 3a).

### 2.1.2. Xenarthra (*Nine-banded armadillo*, *Dasypos novemcinctus*)

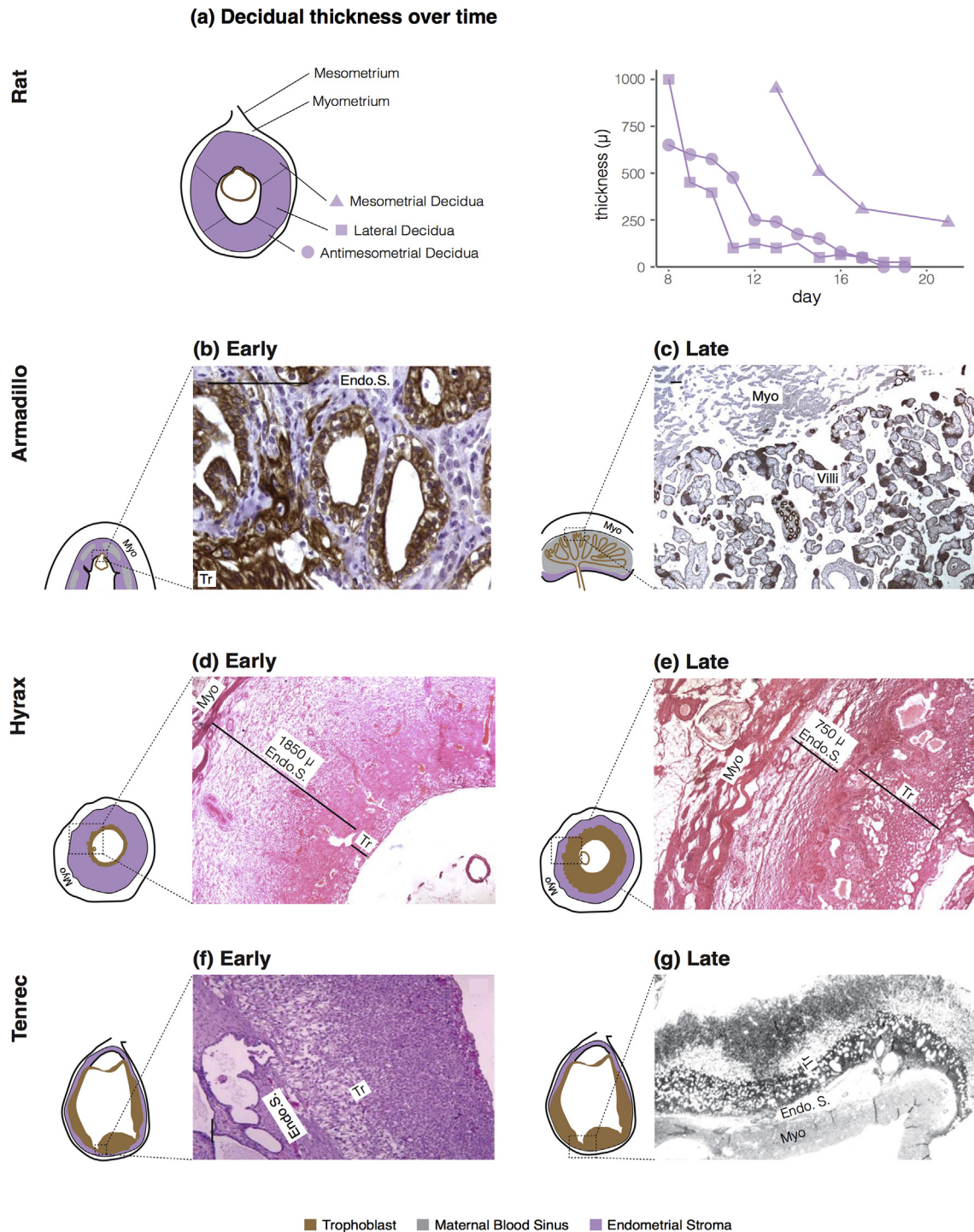
In the nine-banded armadillo (*Dasypos novemcinctus*), the trophoblast comes in contact with the endometrial stromal cells at the time of implantation. The question whether armadillo has DSC is still not completely settled. Enders and colleagues [23] described a small number of cells that have the histological signs of DSC, but there certainly is no compact layer of DSC comparable to the situation in rodents and primates, and other authors deny the existence of a decidua [7]. In the definitive (fully developed) placenta, however, the trophoblast is in close contact with the myometrium; i.e.

there is no *decidua basalis* [23]. Our immunohistochemical investigation of armadillo placenta corroborates this observation (Chavan et al. in prep.). The only remnants of the endometrial stroma are thin bands separating the lobules of the placenta and a thin band encapsulating the placenta. Enders and colleagues [23] have called the latter 'the endometrial arcade'; it is not a *decidua capsularis* because it does not surround the fetus, but only the placenta. This situation develops because the trophoblast does not invade the endometrium on a broad front, like in mouse or human, but sends finger like projections into pre-formed blood sinuses, which then branch to form a villous tree inside the maternal blood space [24]. In essence, there is substantial amount of endometrial stroma present at the time of implantation but all of it disappears from the basal side of the definitive placenta, leaving the myometrium in close proximity to the trophoblast (see Fig. 3b and c). Whether the endometrial arcade contains DSC is still unclear. A similar situation has been described for another xenarthran species, the ant eater *Tamandua tetradactylus* [6]. Early in pregnancy a broad decidualization of the stroma is found but at the site of placentation the decidua are completely lost in later stages of gestation.

### 2.1.3. Afrotheria (*Rock hyrax*, *Procavia capensis* & *Lesser hedgehog tenrec*, *Echinops telfairi*)

According to Thursby-Pelham's description of the uterus of hyrax, *Procavia capensis*, the decidual layer is at its thickest in the earliest specimen studied (specimen 3, uterine diameter 8 mm), which gradually thins out in specimens at later stages (specimen 4, uterine diameter 15 mm and specimen 5, uterine diameter 17 mm) [72]. Our examination of histological preparations of hyrax (*P. capensis*) uterus (ID 20487 from Mossman Developmental





**Fig. 3.** Decidual stromal cells decrease over the length of gestation. (a) Rat: thickness of decidual layer is plotted against day of gestation. Thickness of all three layers of decidua decreases over time. Data for anti-mesometrial and lateral decidual thickness was obtained from Ref. [20] and data for mesometrial decidual thickness was obtained from Ref. [28]. (b) (c) Armadillo: histological preparations of armadillo fetal-maternal interface stained for cytokeratin (brown). Cytokeratin marks trophoblast cells and glandular and luminal epithelia of the endometrium. In the early stage, the peri-implantation phase, endometrial stroma is present, and the stromal cells have DSC morphology. In the later stage, note the absence of endometrial layer between the placental villi and myometrium. (d) (e) Hyrax: Haematoxylin and Eosin stained slides of fetal-maternal interface of hyrax from Mossman Collection. Thickness of decidual layer in the early stage uterus (uterine diameter 8 mm) is 1850 $\mu$ , which reduces to 750 $\mu$  in a later stage uterus (uterine diameter 13 mm). (f) (g) Tenrec: fetal-maternal interface from an early stage of gestation (crown-rump length 5–8 mm), before the formation of definitive placenta, with permission, from Ref. [11] and a later stage of gestation (crown-rump length 58 mm), after the formation of definitive placentation, with permission, from Ref. [10]. Note that the endometrial stroma is present in the early stage, but completely disappears in the later stage. These images have been reproduced with permission from the publisher, and cropped to show the relevant parts of the images. Myo = myometrium, Tr = Trophoblast, Endo.S. = Endometrial stroma. Scale-bars on (b), (c) and (f) are 100  $\mu$ , and (g) is magnified 12 $\times$ .

Collection, Zoological Museum, University of Wisconsin, Madison) confirms these results (see Fig. 3d and e).

Carter and colleagues have described the definitive placenta of

tenrec, *Echinops telfairi*, and its development [10,11]. During the development of the placenta, stromal cells can be seen in the endometrium, which are potentially DSC. Carter and colleagues do

not call these cells ‘decidual’ based on their histological appearance, but further investigation is needed to resolve their identity. The possibility is open that these cells are differentiated decidual stromal cells (by their gene regulatory identity) but histologically inconspicuous. Despite their equivocal identity as ESF or DSC, endometrial stromal cells are lost entirely in the later stages of pregnancy, eliminating the possibility of persistence of any DSC through gestation (see Fig. 3f and g).

**2.1.4. Laurasiatheria** (*European mole*, *Talpa europaea*; *Indian false vampire bat*, *Megaderma lyra lyra*; and *Neotropical disc-winged bat*, *Thyroptera tricolor* spix)

Laurasiatherian clades, Carnivora (e.g. cat, mink), Chiroptera (bats), and Eulipotyphla (e.g. European mole), have members with invasive placentation. Carnivores are termed as ‘deciduate’ species because maternal tissue, mostly glandular epithelium and endothelium, is shed at birth. However, their ESF do not seem to undergo decidualization based on histological criteria [53]. The literature on DSC of Eulipotyphla and Chiroptera suggests that DSC are present around the peri-implantation period, but undergo degeneration in later stages; sometimes all of them, e.g. in case of the Indian false vampire bat, *Megaderma lyra lyra*, bringing the placental villi and myometrium in apposition (Indian false vampire bat: [31]; Neotropical disc-winged bat: [80]; European mole: [46]).

It is clear that in these species, drawn from all major clades of Eutheria, DSC are present, with possible exceptions, and the layer of decidual cells is at its thickest at the time of implantation. DSC tend to disappear in the later stages of pregnancy. This is particularly striking in armadillo and tenrec, in which *decidua basalis* seems to be completely abolished once the definitive placenta is established – a situation that can be called a ‘physiological *placenta accreta*’ – a condition clearly pathological in human but normal in these animals.

### 3. The ancestral function of DSC is its role during implantation

The taxonomic distribution of the life cycle of DSC described above suggests that the presence of DSC is a shared derived state of eutherian mammals. Furthermore the evidence suggests that later stages of pregnancy are not homologous [70]. This leads to the conclusion that, ancestrally, DSC had a role to play during early stages of pregnancy. Their endocrine and immune functions in later stages of pregnancy seem to be limited to Euarchontoglires rather than being general to Eutheria. When this observation is placed in the context of what we know about mammalian evolution, the following model emerges. Our model is consistent with a similar argument proposed by Swaggart and colleagues, namely that the later stages in the pregnancy of eutherian taxa are not homologous [70].

#### 3.1. Model of evolution of extended gestation and ancestral function of DSC

We propose that, in the eutherian stem lineage, gestation was shorter than or at most as long as the sterile sexual cycle, i.e. the ancestral eutherian did not have ‘extended gestation’ similar to the situation in basal marsupial lineages, e.g. the opossums [62].

To our knowledge, a term for length of gestation in relation to the length of the sterile sexual cycle doesn’t exist. Gestation longer than sterile sexual cycle is often referred to as ‘extended gestation’, but this term can also be used to describe the absolute length of gestation, not relative to that of the sterile sexual cycle. We would like to propose the terms ‘*intra-cyclic gestation*’ and ‘*trans-cyclic gestation*’ to refer to gestation shorter and longer than the sterile

sexual cycle, respectively. We follow this terminology throughout this article from here on. See Fig. 4 for an illustration explaining intra-cyclic and trans-cyclic gestations.

We propose that trans-cyclic gestation originated independently in the four major eutherian lineages. This proposal can explain the wide range of differences in the role of DSC reviewed above as well as other differences briefly summarized below. It is based on the fact that species in basal marsupial lineages have short intra-cyclic gestation, that the members of the eutherian stem lineage and probably also the eutherian ancestor were small animals, and that the four major lineages of eutherians arose in a very short period of intense radiation (evidence reviewed below).

This scenario implies that when DSC originated in the stem eutherian lineage, gestation likely involved only a short post-implantation phase. The ancestral function of DSC was, therefore, limited to the implantation process, perhaps to dampen the inflammatory response elicited by invasive implantation [51]. If eutherian pregnancy was extended beyond the length of sterile sexual cycle independently in major eutherian lineages, the endocrine and immune mechanisms for maintaining trans-cyclic gestation were also acquired independently in each of the major lineages and thus differ in the way pregnancy is maintained. From this model we infer that the role of DSC in maintaining pregnancy in primates and rodents evolved in the stem lineage of the Euarchontoglires rather than in the stem lineage of Eutheria.

### 4. The paleontological and comparative physiological evidence

The validity of the model explained above hinges upon the assumption that the eutherian ancestor had an intra-cyclic gestation. In this section we present evidence that substantiates this assumption.

#### 4.1. Trans-cyclic gestation probably originated independently in major eutherian lineages

Marsupial pregnancy is intra-cyclic [49]. Maternal hormonal cycle is not altered by the presence of a fetus; there is no so-called ‘maternal recognition of pregnancy’ except in highly derived macropodids, e.g. wallabies [62]. The condition in macropodids is not a shared derived character of marsupials [27].

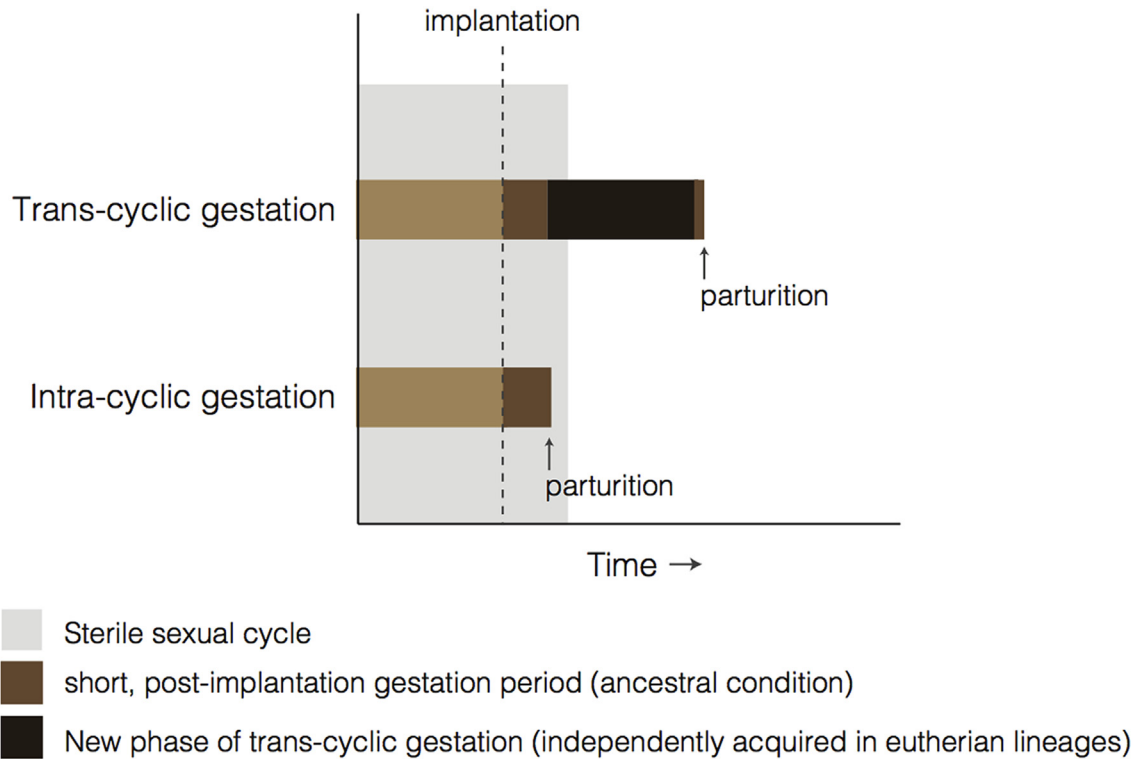
The situation in basal marsupials is in sharp contrast to that in eutherians. Gestation going beyond the length of sterile sexual cycle, trans-cyclic gestation, is considered to be a hallmark of eutherian pregnancy. There is maternal recognition of pregnancy: maternal physiology is altered by the presence of the fetus; in particular, progesterone production is sustained through gestation [5].

The evidence detailed below supports our argument that trans-cyclic gestation had multiple independent origins.

##### 4.1.1. The eutherian ancestor had a short gestation

The fossil record suggests that the eutherian ancestor was a very small animal, and that body size increased independently in several lineages [57]. The high end of reconstructed ancestral sizes (in the 100–200 g range) is likely biased by the secondary body size enlargements strongly suggested to have taken place along the monotreme and marsupial stems. In fact, fossil stem monotremes and stem metatherians are very small, as are stem eutherians [37]. These would be closer to the 1–15 g range of small extant insectivores. We think that this is a conservative estimate since remains of larger animals are more likely to be recovered than those of very small animals.

Moreover, there is direct evidence that body sizes increased



**Fig. 4.** Intra-cyclic and trans-cyclic gestation. Intra-cyclic gestation is shorter than the sterile sexual cycle. Trans-cyclic gestation is longer than the sterile sexual cycle. Trans-cyclic gestation can be interpreted to have a new phase of gestation intercalated between implantation and parturition.

along each of the major placental lineages—not just on the stems of the four “main” clades but even on the various stem lineages of smaller “order level” clades within these. This pattern of body size increase was noticed long ago and given the term “Cope’s Rule” [34]; however, the concept is vague and not well controlled phylogenetically. The pattern of evolution along the stems of major placental lineages is further obfuscated by the fact that very few of the thousands of known Paleogene mammal taxa have been rigorously placed phylogenetically. Much additional work remains to be done. Nevertheless, along the earliest parts of the stem lineages of the various placental clades are small-bodied animals. The afrotherian and xenarthran records are unfortunately poor in the early Paleogene. Among Euarchontoglires, rodents have retained small body size for the most part, as have tree shrews and dermopterans, successive sisters to the larger-bodied Primates. For the most part, Eulipotyphla and Chiroptera, “insectivorans” and bats, remain small-bodied and their fossil stem taxa are small-bodied as well [67]. Early possible stem perissodactyls (“condylarths”), early stem pangolins, i.e. scaly anteaters (“palaeonodonts”), and early stem carnivorans (for instance from the Eocene of Germany) are shrew or mouse size [64]. The stem artiodactyl record is not as complete, but the earliest stem artiodactyls, while not as tiny as the stem members of other lineages, are roughly the size of large rabbits [16,63]. A general pattern thus emerges of repeated instances of extreme body enlargement.

Life history studies have consistently found a positive relationship between body weight (which scales with body size) and the length of gestation [38,48]. With the use of phylogenetic comparative methods controlling for the effects of shared history, this relationship is less steep than was previously believed. The allometric scaling exponent is 0.18–0.2 by ordinary least squares method but 0.07 to 0.1 by phylogenetic generalized least squares method [14]. However, there is little reason to doubt the general

relationship between body size and gestation length outside of lower taxonomic levels, given that most time during long gestations is dedicated to fetal growth, and thus has to relate to neonatal size.

Given the small body sizes of the eutherian ancestor and the ancestors of the major eutherian clades, and the relationship between body size and gestation length, we infer that these animals likely had short gestations. Whether this amounts to intra-cyclic gestation is not directly testable, but at least large body size in the eutherian ancestor would be incompatible with the hypothesis of intra-cyclic gestation.

The idea that the eutherian ancestor gave birth to altricial neonates (typically defined by lack of hair and closed eyes at birth and the need for extensive parental care) has existed for a long time [59]. This idea has been consistently supported by several studies using phylogenetic ancestral state reconstruction [50,57]. Polarization of the character is provided in part by the highly altricial nature of monotreme hatchlings, which are similar in many ways to marsupial neonates. The fact that altricial neonates are born after a relatively short gestation, and depend largely on lactation for their growth, is also suggestive of a short gestation in the eutherian ancestor.

Admittedly, this reasoning alone doesn’t lead us to the inference that the eutherian ancestor had an intra-cyclic gestation (i.e. gestation shorter than the sterile sexual cycle). However, we must reflect upon the inferred short gestation of the eutherian ancestor in the context of the ancestral therian mode of reproduction, which most likely did not involve trans-cyclic gestation. More likely than the origin of trans-cyclic gestation in the eutherian stem lineage is the possibility that characters such as invasive placentation and DSC originated first, paving the way for the evolution of much longer trans-cyclic gestation. Once the problem of inflammation caused by implantation was solved, in part, through the anti-

inflammatory role of DSC and progesterone, further extension of gestation was possible leading to trans-cyclic gestation.

4.1.2. Mechanisms involved in maintenance of trans-cyclic gestation are highly variable

*Corpus luteum*, the remnant of an ovarian follicle upon ovulation, secretes progesterone. In eutherian mammals, a successful pregnancy requires sustained progesterone production, well beyond the life span of the *corpus luteum* of a sterile sexual cycle. This can be achieved in two ways: by extension of the life span of *corpus luteum* or by another organ taking over the responsibility of progesterone secretion after the *corpus luteum* has regressed. All eutherian taxa use either one of these two mechanisms or both to maintain their pregnancy beyond the length of a sterile sexual cycle. However, the means by which they activate these mechanisms are remarkably different [5]. See Fig. 5 for a summary of this section.

*Corpus luteum* can be rescued from regression either by promotion of its growth through luteotropic signals or by inhibition of luteolysis through anti-luteolytic signals. In a sterile sexual cycle, prostaglandins, most often from the uterus, lead to luteolysis. Anti-luteolytic signals act to negate the effects of prostaglandins.

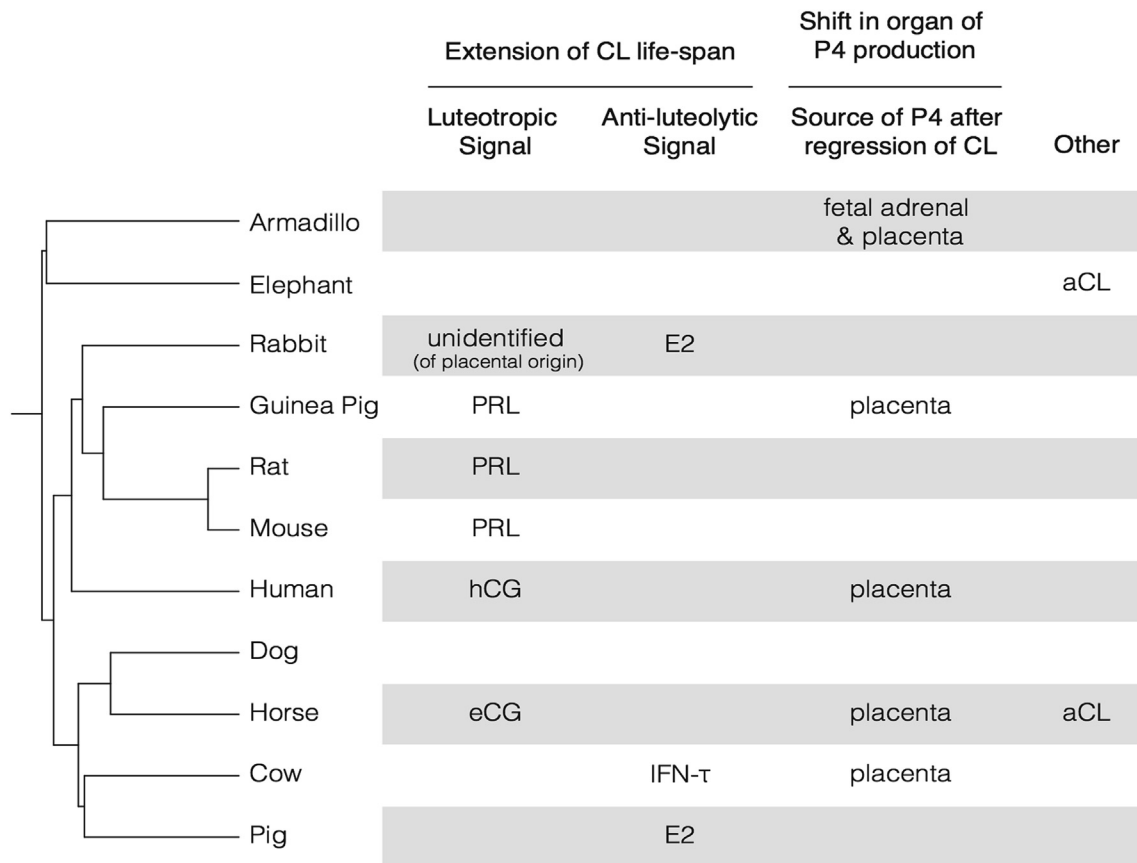
In Euarchontoglires, typically the life span of *corpus luteum* is extended by luteotropic signals, which may or may not be followed by a luteo-placental shift in progesterone production. The luteotropic signal in human, human Chorionic Gonadotropin (hCG) from the trophoblast, promotes growth of *corpus luteum* through part of gestation, after which the placenta takes over progesterone

production. The luteotropic signal in rodents is prolactin, likely secreted by the decidua or the pituitary. It promotes growth of *corpus luteum* through the entire length of gestation, without a luteo-placental shift. Rabbits also do not have a luteo-placental shift. They make use of an unidentified luteotropic signal of placental origin in addition to estradiol (E2) as the anti-luteolytic signal [5].

In Laurasiatheria, the mechanisms are more variable than in Euarchontoglires. Artiodactyls typically use anti-luteolytic signals, along with or without a shift in the organ of progesterone production. In pecoran ruminants (sheep, cattle, goat) IFN- $\tau$  secreted by the conceptus extends the life span of *corpus luteum* by suppressing endometrial prostaglandin-mediated luteolysis. Pigs also use an anti-luteolytic signal but it is E2 secreted by the pig trophoblast, which converts the uterine prostaglandin secretion from endocrine to exocrine. This prevents the entry of prostaglandin into the bloodstream, and therefore it cannot reach the *corpus luteum* [5].

Horse (a perissodactyl) uses equine Chorionic Gonadotropin (eCG) as a luteotropic signal, which probably induces production of accessory *corpora lutea*. Accessory *corpora lutea* are ovarian follicles that luteinize without ovulation and contribute to progesterone production. The placenta primarily carries out progesterone production in late gestation after regression of primary and accessory *corpora lutea* [3].

Dog (a carnivore) possibly does not have a signal from the conceptus for extension of the life span of the *corpus luteum*, given



**Fig. 5.** Divergent mechanisms maintain trans-cyclic gestation in eutherian mammals. Mechanisms of maintenance of trans-cyclic gestation (sustained progesterone production beyond the length of sterile sexual cycle) are grouped into four categories: luteotropic signals, anti-luteolytic signals, shift in the organ of progesterone production, and other mechanisms. Note the remarkable diversity of the mechanisms. Roughly, Euarchontoglires use luteotropic signals and artiodactyls use anti-luteolytic signals. CL = *corpus luteum*, aCL = accessory *corpora lutea*, PRL = prolactin, hCG = human chorionic gonadotropin, eCG = equine chorionic gonadotropin, E2 = estradiol, IFN- $\tau$  = interferon-tau, P4 = progesterone.



that the life span of *corpus luteum* is not altered during pregnancy in comparison to pseudo-pregnancy [5].

In armadillo (a xenarthran), the *corpus luteum* regresses during blastocyst dormancy. After implantation progesterone production is taken over by the adrenals of the fetus [54], possibly supplemented by the placenta [41].

Elephant (an afrotherian) shows signs of neither a luteo-placental shift nor a luteotropic or anti-luteolytic signal. It develops accessory *corpora lutea* that secrete progesterone through the length of gestation [42,69].

In sum, sustained production of progesterone, past the life span of *corpus luteum* of a sterile sexual cycle, is the pivotal modification of maternal physiology during pregnancy that makes trans-cyclic gestation possible. Highly divergent mechanisms involved in bringing about this outcome strongly suggest the possibility that trans-cyclic gestation is not an ancestral character of Eutheria, but that it originated independently in various lineages, as also proposed by Swaggart and colleagues [70].

#### 4.1.3. Epipubic bones in stem Eutheria

Epipubic bones are a pair of bones projecting antero-ventrally from the pubis in monotremes and marsupials. They were initially thought to support the marsupium, i.e. the pouch in marsupials and echidnas, but this view is contended given their presence in species that do not have a pouch. They may indeed be related to the presence of an indented pouch 'region' if not a full pouch, but they have also been implicated in gait and locomotion. Specifically, the epipubic bones extend into the superficial layers of hypaxial abdominal musculature, bearing a series of attachments and connections to the body wall, the midline, and the femur, and contribute to a "cross-couplet" lever system during locomotion that is more similar to the reptilian condition than the unilateral muscle activation pattern of eutherian mammals [60,61,75].

Despite their uncertain function, it is likely that epipubic bones preclude trans-cyclic gestation by compromising pliability of the abdominal wall, which is essential for accommodation of a growing fetus for an extended duration. Extant eutherians lack epipubic bones. Their loss was probably one of the factors that made trans-cyclic gestation possible or their loss was driven by the need to accommodate numerous large-sized fetuses. Fossils of stem eutherians, however, do possess the epipubic bones, as do stem metatherians and stem therians—unambiguously indicating their homology across all these clades [56,75]. This indicates at least that these elements persisted until very near to, and perhaps into, the extant eutherian radiation, adding support for the argument that ancestral eutherian likely gave birth to small neonates and have had intra-cyclic gestation.

Taken together, these data suggest that, despite its universality in Eutheria, trans-cyclic gestation evolved independently several times after the eutherian radiation that gave rise to the major clades of placental mammals.

## 5. Integration of our model in the narrative of mammalian evolution

Below, we insert the ideas postulated above into the account of mammalian evolution, as currently understood (see Fig. 6).

The eutherian ancestor was a very small mammal and gave birth to altricial neonates after a short gestation period. Gestation was intra-cyclic, and contained within the luteal phase of the cycle, as it is in opossum and many other marsupials. The embryo remained unattached for most of gestation, and implantation took place only toward the end of the pregnancy. This is similar to the scenario in extant marsupials such as opossums, and it is likely to have been the case in the marsupial ancestor as well as the therian ancestor.

The key difference to the situation in marsupials was that, in the eutherian ancestor, implantation was invasive. This suggests that invasive placentation, following the destruction of endometrial luminal epithelium, elicited an inflammatory response in the endometrium. Tissue damage is known to lead to the activation of tissue resident fibroblasts, which participate in the inflammatory reaction similarly to tissue macrophages [68] and leads to the activation of FOXO1 protein [2], a transcription factor known to be critical for decidualization [29]. We thus suggest that DSC originated from ESF by converting the pro-inflammatory activated fibroblasts of the endometrial stroma into a specialized stromal cell type that participates in the inflammatory implantation process but changes the nature of the response to an anti-inflammatory state that is compatible with accommodating the conceptus. Some of the mechanisms of the switch from pro- to anti-inflammatory state have been identified; for instance the bi-phasic expression of IL-33 (Interleukin 33) related signaling molecules in human endometrial stromal fibroblasts during decidualization [65].

In our model the eutherian ancestor had intra-cyclic gestation, parturition ensued soon after a short phase of invasive placentation. In this scenario, the role of DSC was limited to the peri-implantation period, possibly to modulate the inflammatory stimulus emanating from the blastocyst to limit tissue damage to the uterus.

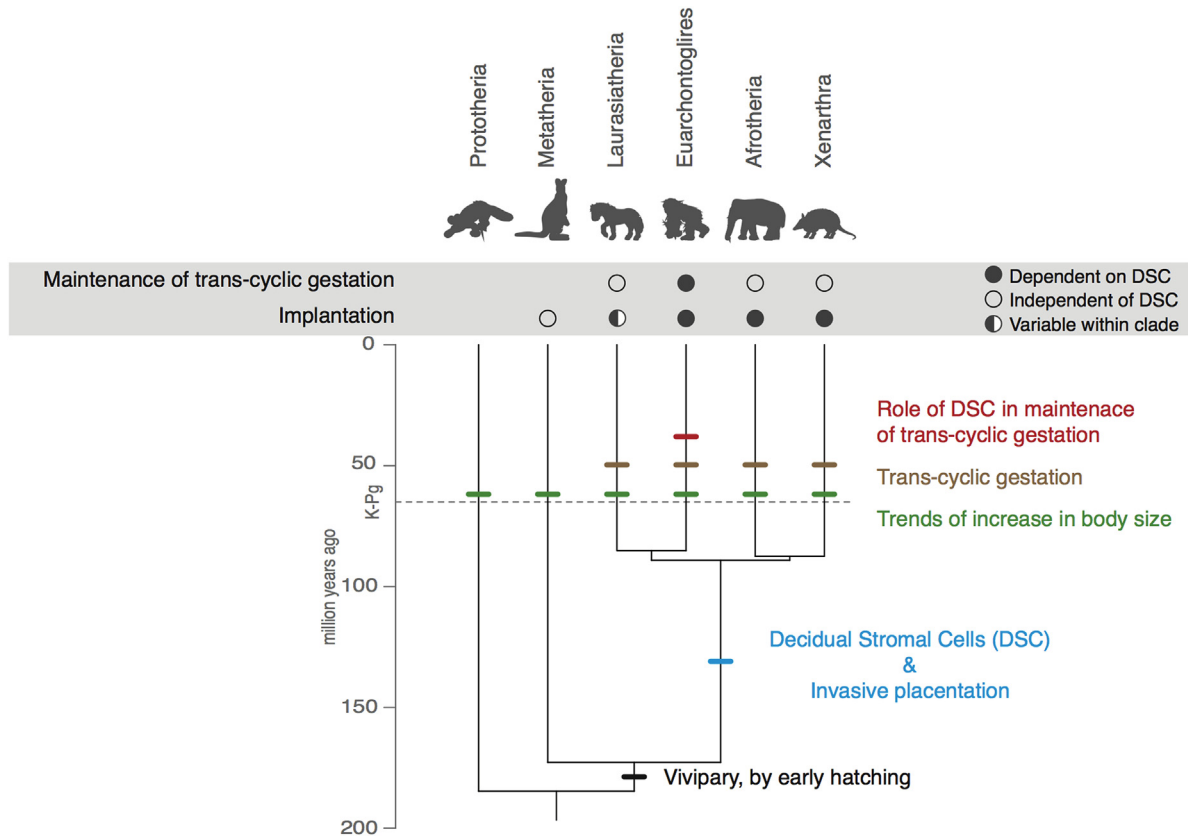
Extinction of large terrestrial dinosaurs (excepting the small-bodied ancestors of the major avian lineages) at the Cretaceous–Paleogene boundary (K-Pg), 65 million years ago, may have opened up ecological niches for mammals, leading to a mammalian radiation and several independent trends of increase in body size. Ancestors of the four major clades of eutherian mammals already existed before this mass extinction event [21]; but see Ref. [57] for an alternate hypothesis); therefore eutherian body size increased independently in several lineages after the K-Pg boundary.

Consequently, we hypothesize, that long, trans-cyclic gestation also evolved in concert with and to accommodate the increase in body size, independently in major eutherian lineages. Independent evolution of trans-cyclic gestation in turn makes it likely that different functional strategies evolved to sustain gestation. Trans-cyclic gestation can be thought of as the intercalation of a new phase of pregnancy between implantation and parturition (see Fig. 4) in the ancestral mode of pregnancy hypothesized above. Addition of this phase necessitated resolution of immunological and physiological challenges of a long pregnancy that is associated with an invasive placenta. According to our model these challenges arose independently in various eutherian lineages, and so did the mechanisms of their resolutions. The resolution, in Euarchotheria alone, involved extended life span of DSC, together with the acquisition of new functions related to maintenance of the trans-cyclic gestation. It is not fully understood how these challenges were resolved in Xenarthra and Afrotheria in a manner that does not involve DSC. It is possible that in Laurasiatheria, at least, the immunological challenge was tackled in part by loss of invasive placentation [12].

## 6. Alternative models explaining reproductive variation

There is tremendous variation in the morphology and physiology of the fetal-maternal interface in therian mammals. Placenta is the most variable organ, at least in Theria, despite the fact that it serves the same basic function in all lineages. A number of explanations have been put forth to explain this variation.

Parent-offspring conflict is often invoked as a driver of diversity in placental structures [17]. In this model, placental diversity is the result of a constant tug-of-war between the mother and the fetus,



**Fig. 6.** Model for the evolution of eutherian gestation. Horizontal bars on the branches of the tree indicate evolutionary changes. Note the multiple origins of trans-cyclic gestation in Eutheria. The grey box indicates whether implantation and maintenance of trans-cyclic gestation are dependent on or independent of DSC. Intra-clade variation clearly exists, but here we have tried to show the most prominent patterns.

particularly over characters related to resource transfer and the timing of parturition. While this argument may apply to the diversity within narrower clades of eutherians, we argue that the broad pattern of diversity across eutherians does not need a selective explanation, because the trans-cyclic mode of pregnancy is likely not homologous across major eutherian clades. Convergent origin of trans-cyclical gestation by different mechanisms is sufficient to explain diversity at this level of comparison.

Another possible explanation of mechanistic diversification is developmental systems drift i.e. variation in the developmental mechanisms of homologous characters [73,78]. One model for developmental system drift is the Selection-Pleiotropy-Compensation (SPC) model [35,58]. The SPC model assumes that selection of an adaptive mutation brings with it negative pleiotropic consequences, which are subsequently resolved by compensatory mutations. SPC model has been proposed as an explanation for many puzzling phenomena including developmental systems drift. According to SPC model, development of homologous characters, when evolving under selection for compensating mutations, can turn out to be surprisingly variable among species. It can be argued that eutherian pregnancy is as variable as it is because it has been undergoing developmental systems drift under the SPC mode of evolution. The model proposed here provides an alternative explanation. The SPC model aims at explaining why homologous traits can be produced by different mechanisms. Again, the alternative is that the trait, trans-cyclical gestation, is not homologous across the major clades of eutherian mammals and thus it is not surprising that it is produced by different mechanisms.

We suspect that all three of these explanations; parent-offspring conflict, developmental systems drift and independent derivation;

are at work in producing the variation among modes of eutherian gestation, but at this point it is not clear at what taxonomic levels these different mechanisms act. Parent-offspring conflict and SPC perhaps explain the variation in the shared derived phase of gestation i.e. the ancestral short post-implantation phase of gestation (see Fig. 4) as well as variation found among more closely related animals. Variation in the later stages of pregnancy that were independently acquired in various lineages can be explained by independent origination. Swaggart and colleagues [70] point out that during embryonic development, organogenesis is generally completed by the time of luteolysis. For example, in mouse, CL persist till the end of gestation and the fetuses are altricial at the time of birth, while in human luteolysis takes place around 8–12 weeks of gestation coinciding with the completion of organogenesis (i.e. the fetus is roughly in the stage of development that mouse fetuses are at the time of birth) which is followed by a long phase of intrauterine growth and maturation. Swaggart and colleagues suggest that mouse gestation is homologous to only the first trimester of human gestation. The variation between mouse (and potentially other altricial rodent species) gestation and the first trimester of human gestation is probably better explained by SPC and parent-offspring conflict than by our model, while the variation between later stages of gestation of precocial mammals like guinea pig, and second and third trimesters of human gestation are probably better explained by the assumption of independent origins. The evaluation of these ideas requires more detailed analysis of gestational variation than is the scope of this paper.

## 7. Conclusion

In light of the their critical immune and endocrine functions to

support pregnancy in rodents and primates, it is surprising that DSC in xenarthrans, afrotherians and some laurasiatherians, while present during implantation, are lost in later stages of pregnancy. Here we explained this pattern by proposing that DSC in the eutherian ancestor played a role only in the peri-implantation period, perhaps to modify the inflammatory reaction elicited by the process of invasive implantation. Their role in later gestation is not shared among the major eutherian lineages, and probably was acquired in the stem lineage of Euarchontoglires.

An obvious question arising from the model proposed here is how the fetal-maternal immune tolerance is mediated in Xenarthra and Afrotheria as for the majority of their gestation proceeds without any aid from DSC. Our understanding of this phenomenon is limited at the moment. One model, put forward by Enders and Welsh [25], suggests that one of the strategies is to limit the contact between the endometrial stroma and the trophoblast. More work is certainly required on this front.

Finally, an implication of our model is that research on the evolutionary origin of DSC will benefit most from understanding the biology of implantation and the role of DSC in that phase of gestation rather than their role in the maintenance of trans-cyclic gestation.

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